

# Strategies for cooperation emergence in distributed service discovery

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**Abstract.** In distributed environments where entities only have a partial view of the system, cooperation plays a key issue. In the case of decentralized service discovery in open agent societies, agents only know about the services they provide and who are their direct neighbors. Therefore, they need the cooperation of their neighbors in order to locate the required services. However, cooperation is not always present in open and distributed systems. Non-cooperative agents pursuing their own goals could refuse to forward queries from other agents to avoid the cost of this action; therefore, the efficiency of the decentralized service discovery could be seriously damaged. In this paper, we propose the combination of local structural changes and incentives in order to promote cooperation in the service discovery process. The results show that, even in scenarios where the predominant behavior is not collaborative the cooperation emerges.

## 1 Introduction

There are distributed systems where the cooperation of all the entities that participate in them is required to obtain a good performance that provides benefits for all the participants. Some of the scenarios where cooperation is required are: wireless ad-hoc networks where nodes rely on other nodes to forward their packets in order to reach the destination node; file sharing in P2P systems [1]; streaming applications [2], discussion boards [3], on-line auctions [4], or overlay routing [5]. If participants that decide not to contribute in order to maximize their own benefits and exploit the contributions of the others appear in these scenarios, they will obtain a high rate of benefits in the short term. However, these benefits decrease as the number of selfish participants increases, thereby damaging the performance of the whole system. There are models of genetic and cultural evolution that confirm that the opportunity to take advantage of others undermines and often eliminates cooperation [6]. These cooperation problems are also known as social dilemmas (i.e., the tragedy of the commons, the free-rider problem, the social trap). The promotion and stabilization of cooperation in scenarios of this type has been considered to be an area of interest [7].

Several mechanisms have been proposed to promote and maintain cooperation in different scenarios. In scenarios where individuals interact repeatedly, selfish or altruistic actions would be returned in future. Therefore, a common mechanism to facilitate

the emergence of cooperation is *direct reciprocity* [8]. When agents do not always interact with the same individuals, *indirect reciprocity* [9] or *tags* [10] are used. *Punishment* has also been considered to promote cooperation and to overcome the "tragedy of the commons" [6]. Punishment is present in human societies where sanctioning institutions apply a punishment to those that do not obey the law. In systems where such centralized institutions do not exist, individuals are willing to punish defectors even though this implies a cost for them [11]. In general, punishment has been proven to be an efficient way to maintain cooperation [12, 13].

Many approaches that are used to promote cooperation assume well-mixed populations where everybody interacts with equal frequency with everybody else. However, real populations are not well-mixed. In real populations, some individuals interact more often than others; therefore, to understand the social behavior of the systems it is important to consider the social structure. The social structure is represented by a network where links are established by the individuals following certain preferences. There are several works that analyze the influence of the network structure in the emergence of cooperation. These works study how structural parameters such as clustering or degree distribution affect the emergence and maintenance of cooperation [14–17].

Another issue that it is important to consider is how local changes can influence the collective social behavior. Eguíluz et al. [18] present a model that uses the Prisoner's Dilemma game [19] and *social plasticity* in random undirected networks of agents. Agents update their behavior in discrete time steps using an imitation strategy that considers the payoff of neighbors. The social plasticity (i.e., changes in structural links) is considered when an agent imitates a defector in order to facilitate the replacement of an unprofitable relationship with a new one that is randomly chosen. Griffiths et al. [20] propose a mechanism that considers context awareness and tags of agents to promote cooperation. Moreover, agents can remove part of their connections with agents that are not cooperative and add connections with others that can improve cooperation. There are other approaches that also make use of rewiring techniques and partial observation to facilitate the emergence of cooperation [21].

In this paper, we present a proposal that promotes cooperation in the service discovery process among agents that are located in a network structure. In this context, cooperation plays an important role since agents only have a partial view of the network and need the cooperation of their neighbors in order to forward queries to locate the required resources or services. This becomes even more difficult when there are self-interested agents that do not cooperate with other agents in order to avoid the cost of forwarding queries. We combine two mechanisms in order to promote cooperation: incentives and social plasticity. The main differences between our approach and other proposals are: (i) we consider the social structure where agents are located instead of a well mixed population; (ii) we have considered different criteria for the assignment of incentives for the agents participate in the search process; (iii) structural local changes are also taken into account in combination with incentives; the structural changes are not random, agents break links with those neighbors that have non-cooperative behavior, and instead of replacing them randomly, the agents look for another agent based on their preferences; (iv) taking into account local information about the degree of cooperation of their neighborhood, agents are able to detect when it is more appropriate to

include social plasticity. The proposed combination of mechanisms has been tested and the results show that even in adverse situations where there is a large number of non-cooperative (non-cooperator) agents our proposal obtains good results and the performance of the system is not seriously affected.

The paper is structured as follows. In section 2, we describe the model where we integrate the cooperation mechanisms. This section contains the description of the service discovery process, presents the incentives mechanism and the social plasticity, and finally we describe how agents select each action during the service discovery process. Section 3 presents a set of experiments where we evaluate the performance of our proposal. Finally, section 4 presents conclusions and final remarks.

## 2 Model for Cooperation in Service Discovery

Consider a network of agents  $A = \{1, \dots, n\}$  connected by undirected links in a fixed network represented by the adjacency matrix  $g$ . A link between two agents  $i$  and  $j$ , such that  $i$  and  $j \in A$ , is represented by  $g_{ij} = g_{ji} = 1$ , where  $g_{ij} = 0$  means that  $i$  and  $j$  are not connected. The set of neighbors of player  $i$  is  $N_i = \{j | g_{ij} = 1\}$ . We assume that  $g_{ii} = 0$ . The number of neighbors of  $i$  is denoted by  $k_i$ , which is the cardinality of the set  $N_i(g)$ . Each agent in the network plays a role  $r_i$  and offers at least one service associated with that role  $s_i$ . An agent has an initial behavior that can be cooperative ( $c$ ) or not cooperative ( $nc$ ). Moreover, each agent has an initial budget  $b$  that it is equal for all the agents in the system.

A link between two agents  $i$  and  $j$  is established considering a probability. This probability is based on the similarity between the roles played by the agents  $i$  and  $j$  and the services provided by them as well as their degree of connectivity. Therefore, agents have a greater probability of establishing links with agents that have similar attributes than with dissimilar ones. The result of using this criterion to establish links between agents is a network structure based on similarity and degree that has an exponential distribution of its degree of connection. This structure facilitates the task of decentralized service discovery only considering local information [22].

### 2.1 Service Discovery

The service discovery starts when agent  $i \in A$  needs to locate an agent that plays certain role and offers certain service in order to deal with one of its goals. The agent  $i$ , in order to start the process estimates if it has enough budget  $b$  to reach the target. In the case that the budget is enough, agent  $i$  creates a query at time  $t$   $q_i^t = \{s_{tg}, r_{tg}, TTL, \varepsilon, \{\}\}$ , which consists of: the required semantic service description ( $s_{tg}$ ), the organizational role that the target agent should play ( $r_{tg}$ ), the Time To Live that represents the maximum number of times that the query can be forwarded (TTL), the  $\varepsilon$  established by  $i$  that represents how similar should be the target agent that  $i$  is looking for, and the list of identifiers of the agents that participate in the search process (initially this list is empty).

In the discovery process, when an agent that is similar enough to the target is found, the agent  $i$  is informed and the process ends. Otherwise, agent  $i$  should choose one of its neighbors to forward the query  $q_i^t$ . The selection of the the most promising neighbor

is based on the similarity between the neighbor and the target (similarity-based factor that considers the semantic similarity between the services and the roles of two agents) and the number of neighbors of the neighbor (degree-based factor)[22].

$$\mathcal{F}_{N_i}(tg) = \operatorname{argmax}_{j \in N_i} P(\langle j, tg \rangle) \quad (1)$$

For each neighbor  $j$ ,  $P(\langle j, tg \rangle)$  determines the probability that the neighbor  $j$  redirects the search to the nearest network community where there are more probabilities of finding the agent  $tg$ .

$$P(\langle j, tg \rangle) = 1 - \left( 1 - \left( \frac{H(j, tg)}{\sum_{k \in N_i} H(k, tg)} \right) \right)^{k_j} \quad (2)$$

where  $H(j, tg)$  is the semantic similarity between the roles and services of agents  $j$  and  $tg$ . For a detailed mathematical definition of this measure we refer the reader to [22]. The discovery process ends when the number of forwards exceeds the TTL or when the target agent that provides the required service is found.

**Actions and Incentives.** When a neighbor  $j$  receives a query  $q_i^t$ , it has a set of possible actions  $Acc = \{\rho, \infty, 1, 2, \dots, k_i, \emptyset, \lambda\}$ , where:

- $\rho$  is asking for a service
- $\infty$  is providing the service
- $\{1, \dots, k_i\}$  is forwarding the query to one of its neighbors  $\in N_i$
- $\emptyset$  is doing nothing
- $\lambda$  rewiring a link

The actions of an agent in the network have associated a cost, a benefit, or a reward. If an agent asks for a service to a provider, it has to pay the provider  $\beta$ . If an agent provides a service, it earns a payoff  $p$ . Forwarding a query is costly  $c$ , but an agent earns a payoff  $\alpha$  if the query ends successfully. Otherwise, the payoff is 0. If an agent chooses the action  $\emptyset$ , its payoff is 0. The agent can also decide rewiring a current structural relation with a neighbor and looking for a new one. The rewiring action has a cost  $\gamma$ . Formally:

$$u_i^t(a_i^t) = \begin{cases} -\beta & \text{if } a_i^t = \rho \\ p & \text{if } a_i^t = \infty \\ -c & \text{if } a_i^t \in \{1, 2, \dots, k_i\} \\ 0 & \text{if } a_i^t = \emptyset \wedge \nexists t' \leq t : a_i^{t'} \in \{1, 2, \dots, k_i\} \\ \alpha & \text{if } a_i^t = \emptyset \wedge \exists t' \leq t : a_i^{t'} \in \{1, 2, \dots, k_i\} \wedge \exists j \in A : a_j^t = \infty \\ -\gamma & \text{if } a_i^t = \lambda \end{cases} \quad (3)$$

The agent that initiates the service discovery process distributes incentives  $\alpha$  among the agents that participate forwarding a query. The use of incentives tries to provide a reward to those agents that cooperate during the discovery process. We have considered different types of mechanisms to distribute incentives:

- mechanisms that uniformly distribute the incentives among all the agents that participated in the forwarding process of a query that ended successfully. We consider two different mechanisms that differ from who is the entity that provides the incentives to the other agents. In one mechanism the system is the entity responsible of providing the incentives to the agents. We call this mechanism *System*, and the other one where the agent that initiates the discovery process provides the incentives. We called this mechanism *Fixed*.
- mechanisms that use a criterion to distribute the incentives in a non-uniform way among all the agents that participated in the forwarding process of a query that ended successfully.
  - *Path*: the reward depends on the length of the path. The shorter path to locate the provider agent is, the higher reward the agents will received.
  - *SimDg*: the reward for an agent that participates in the forwarding process depends on its similarity with the target agent and its degree of connection. The participants that are closer to the target agent and have a high degree of connection will receive a higher reward than the other participants.
  - *InvSimDg*: the reward for an agent that participates on the forwarding process depends on its similarity with the target agent and its degree of connection. The participants that are distant to the target agent and have a low degree of connection will receive a higher reward that the other participants.

**Social Plasticity.** The structure of the network influences interactions of agents, therefore it is important to provide agents mechanisms to be able of changing their local structure in the network. For that reason, we consider the rewiring action  $\lambda$  in our model. Through interactions during the service discovery process, agents are able to change their structural relations taking into account which neighbors provide profitable relationships and which do not. This feature is called social plasticity [18]. Social plasticity is the capacity of individuals to change their relationships as time passes. Specifically, in our system, each agent maintains information related to its neighbors. This information consists of the number of times a neighbor has refused to forward one of its queries ( $r_{ij}$ ).

In order to evaluate the utility of a link, an agent  $i$  uses a decay function that calculates the probability of maintaining a link with  $j$  taking into account the number of queries that it would have sent through neighbor  $j$  but  $j$  refused to forward. This function is a sigmoid that ranges between  $[0,1]$ .

$$P_{decay}(rq_{ij}) = \frac{1}{1 + e^{\frac{-(rq_{ij}-d)}{y}}} \quad (4)$$

where the constant  $y$  is the slope and  $d$  is the displacement. These constants are established by the agent. The most influential constant is  $d$ . The displacement  $d$  indicates how benevolent an agent is with respect the non-cooperative behavior of its neighbors. A high value of  $d$  means that the agent is going to consider a higher number of refuses in order to make a decision about looking for another neighbor. A low value means that it is not permissive with the number of refuses. The function  $P_{decay}(rq_{ij})$  returns a value in the range  $[0,1]$ , where 0 indicates that the agent does not consider that the

number of rejects from its neighbor is enough to make a decision about rewiring, and 1 indicates that it is necessary to change the link. There criterion that we have considered for establishing a new link with another agent in the network consists on looking for a neighbor that offers similar services to the previous one.

In order to find a trade-off between the number of structural changes and the emergence of cooperation, the use of the rewiring action  $\lambda$  mechanism is affected by the number of cooperator agents that an agent has in its neighborhood. If the number of cooperator neighbors is under a certain threshold  $\sigma$ , the mechanism used to facilitate the emergence of cooperation is the social plasticity mechanism combined with the mechanism based on incentives. Otherwise, the mechanism used is based on incentives only.

## 2.2 Action Selection

Agents choose which will be the next action taking into account: (i) the similarity between itself and the target agent; and (ii) previous actions of their neighbors and an imitation strategy. An agent  $i$  has an information structure  $H_i^t = \{\pi_i^t(c), \pi_i^t(nc)\}$  that stores information about the budget that the agent has when its behavior was cooperative  $\pi_i^t(c) = \sum_{t' \leq t} u_i^{t'}(a_i^{t'})$ ,  $a_i^{t'} \in Acc - \{\emptyset\}$  and when it was non-cooperative  $\pi_i^t(nc) = \sum_{t' \leq t} u_i^{t'}(a_i^{t'})$ ,  $a_i^{t'} \in Acc - \{1, \dots, k_i\}$ . Moreover, an agent  $i$  stores the number of times it sends a query to one of its neighbor  $j$  and it rejected forwarding it ( $rq_{ij}$ ).

When an agent  $i$  receives a query  $q_i^t$  at time  $t$ , it chooses one of these actions using the following criterion:

- do the task itself when its service and role are enough similar to the service and role of the target agent  $tg$ .

$$a_i^t = \infty \text{ if } |H(i, tg)| \geq \varepsilon \quad (5)$$

- do nothing when its service and role are not enough similar to the target agent and, considering information from previous stages, agent  $i$  finds that the neighbor with highest benefit did not cooperate in the stage  $t - 1$ .

$$a_i^t = \emptyset \text{ if } |H(i, tg)| < \varepsilon \wedge a_j^{t-1} = \emptyset, j \in \operatorname{argmax}(H_1^{t-1}, \dots, H_{k_i}^{t-1}) \quad (6)$$

- forwarding the query to one of its neighbors  $j \in N_i(g)$  when its service and role are not enough similar to the target agent and the neighbor with highest benefit cooperated in the stage  $t - 1$ .

$$a_i^t = j \text{ if } |H(i, tg)| < \varepsilon \wedge a_j^{t-1} \neq \emptyset, j \in \operatorname{argmax}(H_1^{t-1}, \dots, H_{k_i}^{t-1}) \quad (7)$$

where

$$j \in \operatorname{argmax}_{j \in \{1, \dots, k_i\}} P(\langle j, tg \rangle) \quad (8)$$

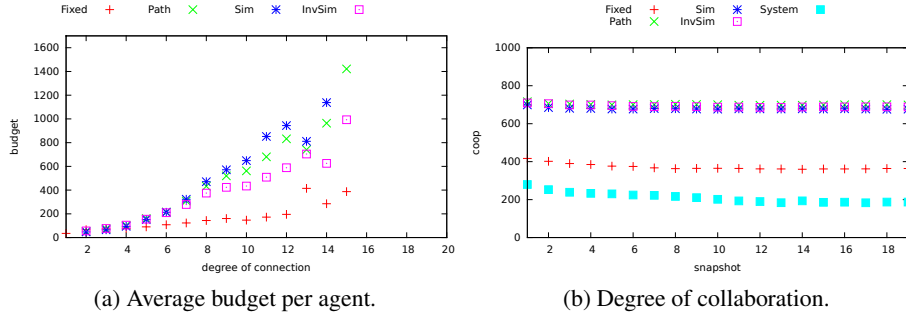


Fig. 1: 1a Average budget per agent with an specific degree of connection when agents use incentives. 1b Evolution of the degree of collaboration in the system when agents use incentives.

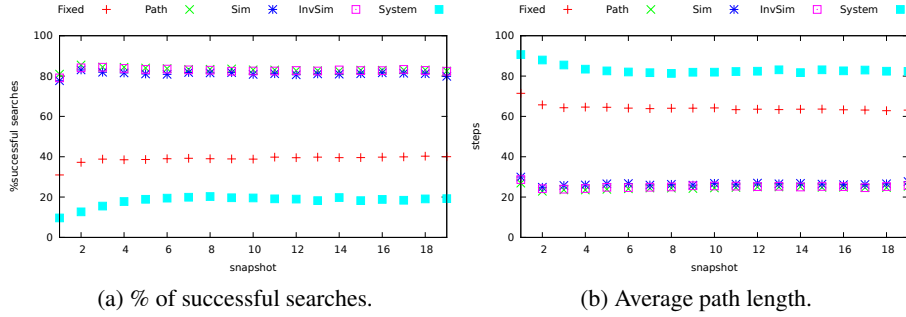


Fig. 2: 2a Evolution of the percentage of discovery processes that end before TTL when agents use incentives. 2b Evolution of the average number of steps in successful discovery processes when agents use incentives.

- rewiring a link with probability  $P_{decay}$  when agent  $i$  forwarded a query to a neighbor  $j$  in the stage  $t - 1$ , it rejects forwarding the query at stage  $t$ , and the number of cooperative agents in the neighbor is under a threshold  $\sigma$ .

$$a_i^t = \lambda \text{ if } a_i^{t-1} = j \wedge a_j^t = \emptyset \wedge |coop| < \sigma, coop \subseteq N_i(g) | j \text{ is a cooperator} \quad (9)$$

### 3 Experiments

In this section we evaluate the effects of different criteria for the distribution of incentives and the social plasticity in the emergence of cooperation in a decentralized service discovery system.

The tests were performed on a set of 10 undirected networks based on preferences where the degree of connection followed an exponential distribution. The networks

were populated by 1,000 agents and the average degree of connection was 2.5. Each agent had a initial budget  $b = 100$ . The agents played one role and offered one semantic web service associated to this role. Initially, the agents were uniformly distributed over 16 roles, which were defined in an organizational ontology. The set of semantic service descriptions used for the experiments was taken from the OWL-S TC4 test collection <sup>1</sup>.

All the agents in the system had the same probability of generating service queries. A query was successfully solved when an agent that offered a similar service (i.e., the degree of semantic match between the semantic service descriptions and roles was over a threshold  $\varepsilon = 0.75$ ) was found before the TTL ( $TTL = 100$ ). The query distribution in the system was modeled as a uniform distribution. In the experiments, we made a snapshot of all of the metrics every time 5,000 queries were solved in the system in order to see the evolution of the metrics. In all the experiments we did 19 snapshots. The costs, benefits, and incentives of the actions were the following:  $\beta = 0.5$ ,  $p = 0.5$ ,  $c = 0.01$ ,  $\alpha = 0.02$  (when the mechanism distributes uniformly the incentives), and  $\gamma = 0.1$ . For the mechanisms that distribute the incentives in a non-uniform way, agents distribute the quantity of 0.5 among the agents that participate in the discovery process considering the different criteria.

The metrics that we considered in the experiments were:

- the success of the service discovery processes
- the average path length of the discovery process
- the degree of cooperation in the system
- the average budget that an agent has.

For the experiments we considered two scenarios to see the effects of cooperation mechanisms:

- an scenario where, initially, 40% of the network cooperated and the 60% did not cooperate and only incentives were used
- an scenario where, initially, 40% of the network cooperated and the 60% did not cooperate and incentives and social plasticity were used.

### 3.1 Incentives

In these tests we evaluated the different ways that the agent that started the search process and found the provider agent distributed the incentives among the agents that participated in the process. Figure 1a shows the final budget of agents with certain degree. The x-axis shows the degree of connection of the agents and y-axis shows the average budget that agents with certain degree of connection had available in the last snapshot. In general, agents with a high degree of connection were the agents that obtained higher benefits due to they participated in more service discovery process, and usually, these processes were shorter and had more probability of success. The strategies that better distributed the incentives were the *Fixed* and the *InvSimDg* since it gave more incentive to those agents that were far from the target and had a low degree of connection.

Regarding the results related to the degree of cooperation in the system, the strategies that gave a fixed incentive to the participants in the discovery process obtained

<sup>1</sup> <http://www.semwebcentral.org/projects/owl-s-tc/>



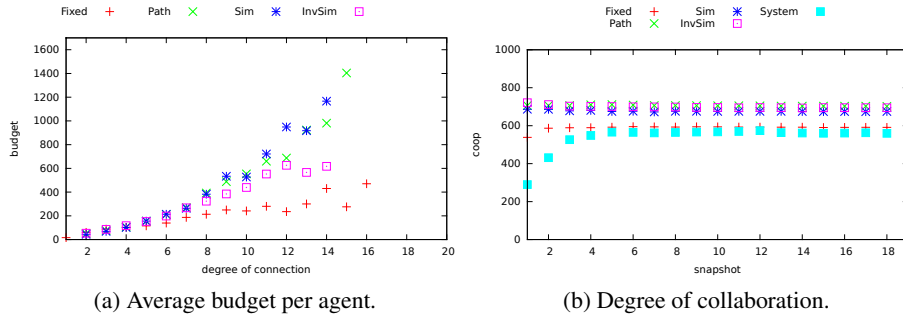


Fig. 3: 3a Average budget per agent with an specific degree of connection when agents use incentives and have social plasticity. 3b Evolution of the degree of cooperation in the system when agents use incentives and have social plasticity.

a lower degree of cooperation than the strategies that did not distribute the incentives uniformly. In Figure 1b these results are shown. The x-axis shows the snapshots and the y-axis the number of agents that cooperate. Although the strategies that do not distribute uniformly the incentives benefit the hubs of the network, this fact provides a higher degree of cooperation. As consequence, the average number of steps required in the search process to reach the target agent decreases (see Figure 2a) and the percentage of queries successfully solved increases (see Figure 2b).

### 3.2 Incentives and Social Plasticity

In these tests we incorporated social plasticity. Agents used incentives to promote cooperation but also they rewired links that they considered that were not being useful. The value for the threshold  $\sigma$  to decide if it was appropriate using social plasticity was 0.25. The values of the parameters of the slope  $y$  and the displacement  $d$  were 1 and 7 respectively. In general, it can be observed that the use of social plasticity improves the results obtained only considering incentives. Regarding the final budget of the agents, the use of social plasticity implies a small decrease in the budget of the agents. However, the use of social plasticity increases degree of cooperation achieved in the system (see Figure 3b). This fact is more significant in the case of strategies that use a uniform distribution of the reward. The increase of the degree of cooperation in the system facilitates the service discovery decreasing the average path length of the discovery processes (see Figure ??) and increasing the success (see Figure 4a). This improvement is more significant than the improvement obtained only with the use of incentives. Finally, we analyzed the number of structural relations that were modified using different mechanisms for distributing the incentives (see Figure 5). The results show that the incentive mechanisms that distribute the benefit in a non uniform way require less structural changes to increase the cooperation in the system.

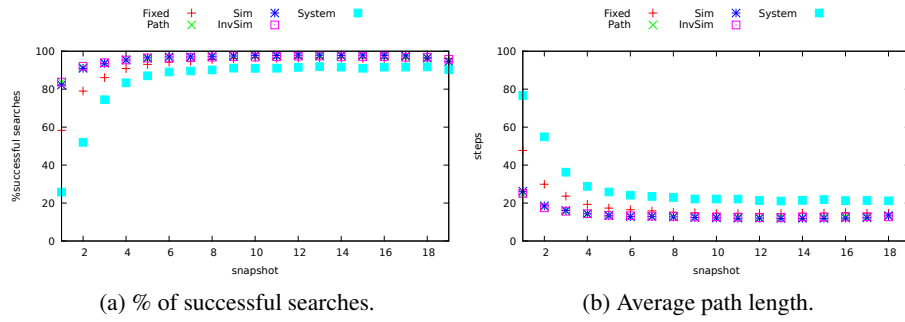


Fig. 4: 4a Evolution of the percentage of discovery processes that end before TTL when agents use incentives and have social plasticity. 4b Evolution of the average number of steps in successful discovery processes when agents use incentives and have social plasticity.

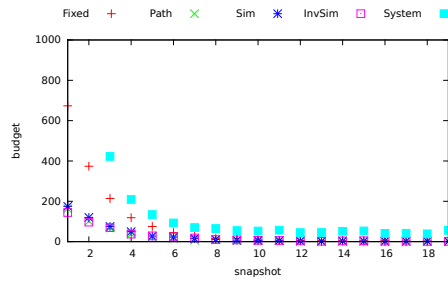


Fig. 5: Number of rewired structural relations when social plasticity is considered when agents use incentives and have social plasticity.

## 4 Conclusions

This article addresses the problem of emergence of cooperation in scenarios where cooperation is required to achieve a good performance that benefits all of the participants. Specifically, our proposal focuses on the emergence of cooperation in decentralized service discovery scenarios where agents need the cooperation of their neighbors in order to locate other agents that offer services that they require. Therefore, if selfish agents appear in the system, in the long term, as the number of non-cooperator agents increases, the service discovery process could be seriously compromised. For this reason, it is important to provide mechanisms that facilitate the emergence and maintenance of cooperation. In this paper, we present the combination of two mechanisms to facilitate the emergence of cooperation in open societies of agents where not all the agents have cooperative behavior.

In the model that we presented, agents can use incentives in order to promote cooperative actions such as the forwarding action in the discovery process. We have considered different mechanisms to distribute these incentives. Some of them take into account the same quantity of reward for all the participants in a successful search process. Others distribute the reward among all the participant non-uniformly considering an specific criterion. In general, the non-uniform distribution benefits the agents with a high degree of connection due to participate in a higher number of successful discovery processes, and therefore, this fact increases their budgets cooperating. Consequently, other agents imitate their behavior and, therefore cooperation increases.

Moreover, we also considered the inclusion of structural changes (social plasticity) based on the degree of cooperation of their neighbors. As the number of times a neighbor refuses to forward a query increases, the probability of changing this relation increases. If an agent decides to change a neighbor, it chooses a neighbor with similar functional features to the previous one. The inclusion of social plasticity in the system increases the degree of cooperation achieved in the system, mainly when the incentive mechanism used is based on a fixed reward distribution.

The experiments confirm that this combination of mechanisms promote cooperation in service discovery scenarios where the number of non-cooperator agents is higher than the number of cooperators. The increase of the degree of cooperation in the system improves the performance of the system reducing the average number of steps required to reach the target and increasing the number of service discovery processes.

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